

FAX Transmission Sheet
BURR & BROWN
Intellectual Property Practice

RECEIVED
CENTRAL FAX CENTER
SEP 15 2004

101 South Salina Street, 7th Floor
Syracuse, New York 13202

Telephone: (315) 233-8300
Facsimile: (315) 233-8320

OFFICIAL BUSINESS

Date: September 15, 2004

To: Examiner: Matthew J. Song
Art Unit: 1765

Fax No.: 703-872-9306

From: Steven W. Caldwell

Subject: U.S. Application Ser. No. 09/928,523
Filed: August 13, 2001
Conf. No.: 8032
Title: AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A
METHOD FOR FABRICATING THE SAME

Our Ref.: 782_181

You should receive ____ page(s) including this cover sheet. If you do not receive all pages, please call (315) 233-8300.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following paper(s) is/are being facsimile transmitted to 703-872-9306 at the Patent and Trademark Office on September 15, 2004:

• Amendment Transmittal (in duplicate)	_ 2 _ pages
• Amendment	_ 11 _ pages
• This Cover Sheet	_ 1 _ pages


Janet M. Stevens

Total Pages

_ 14 _

IMPORTANT - THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT READING, DISSEMINATING, DISTRIBUTING OR COPYING THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND DESTROY THE COMMUNICATION. THANK YOU.

BURR & BROWN

PATENT AMENDMENT TRANSMITTAL

(Provisions of 37 CFR 1.136 Apply)

Application Number	09/928,523	Filing Date	August 13, 2001
Group Art Unit	1765	Examiner Name	Matthew J. Song
Confirmation No.	8032	Attorney Docket No.	782_181
Inventor(s)	Tomohiko SHIBATA, Keiichiro ASAI, and Mitsuhiro TANAKA		
Invention:	AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A METHOD FOR FABRICATING THE SAME		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

CLAIMS AS AMENDED

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	4	MINUS	20	0	\$18.00	\$00.00
INDEP. CLAIMS	1	MINUS	3	0	\$86.00	\$00.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$00.00

EXTENSION OF TERM

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
- ☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:
- ☐ One Month (37 CFR 1.17(a)(1)) \$110.00
- ☐ Two Month (37 CFR 1.17(a)(2)) \$420.00
- ☐ Three Month (37 CFR 1.17(a)(3)) \$950.00

TOTAL FEES DUE

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

FEE PAYMENT

- ☒ No additional fee is required. ☐ A check in the amount of \$_____ is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

Submitted By:

Name (Print Type)	Steven W. Caldwell	Reg. No.	51,525	Customer No.	025191
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
Signature	<i>Steve Caldwell</i>			Date	September 15, 2004

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on September 15, 2004 at 703-872-9306.

Janet M. Stevens
Janet M. Stevens

PATENT AMENDMENT TRANSMITTAL

(Provisions of 37 CFR 1.136 Apply)

Application Number	09/928,523	Filing Date	August 13, 2001
Group Art Unit	1765	Examiner Name	Matthew J. Song
Confirmation No.	8032	Attorney Docket No.	782_181
Inventor(s)	Tomohiko SHIBATA, Keiichiro ASAI, and Mitsuhiro TANAKA		
Invention:	AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A METHOD FOR FABRICATING THE SAME		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

CLAIMS AS AMENDED

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	4	MINUS	20	0	\$18.00	\$00.00
INDEP. CLAIMS	1	MINUS	3	0	\$86.00	\$00.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$00.00

EXTENSION OF TERM

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
- ☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:
- | | | |
|---|----------|--|
| <input type="checkbox"/> One Month (37 CFR 1.17(a)(1) | \$110.00 | |
| <input type="checkbox"/> Two Month (37 CFR 1.17(a)(2) | \$420.00 | |
| <input type="checkbox"/> Three Month (37 CFR 1.17(a)(3) | \$950.00 | |

TOTAL FEES DUE

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

FEE PAYMENT

- ☒ No additional fee is required. ☐ A check in the amount of \$_____ is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

Submitted By:

Name (Print Type)	Steven W. Caldwell	Reg. No.	51,525	Customer No.	025191
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
Signature	<i>Steve Caldwell</i>			Date	September 15, 2004

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on September 15, 2004 at 703-872-9306.

Janet M. Stevens
Janet M. Stevens

RECEIVED
CENTRAL FAX CENTER

SEP 15 2004

Practitioner's Docket No.: 782_181

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Tomohiko SHIBATA, Keiichiro ASAI, and Mitsuhiro TANAKA

Ser. No.: 09/928,523

Group Art Unit: 1765

Filed: August 13, 2001

Examiner: Matthew J. Song

Confirmation No.: 8032

For: AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A METHOD FOR FABRICATING THE SAME

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**CERTIFICATION OF FACSIMILE
TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to 703-872-9306 in the Patent and Trademark Office on September 15, 2004.

Janet M. Stevens
Janet M. Stevens

AMENDMENT

Sir:

In response to the Office Action mailed June 15, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.